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Technology Center 2100 DOCKET NO. 4530/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Webster HUGHES

Serial No. : 09/706,678 Examiner: To Be Assigned

Filed : November 6, 2000 Group Art Unit: 2161

Title : METHOD AND SYSTEM FOR REBROKERING ORDERS IN A TRADING SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

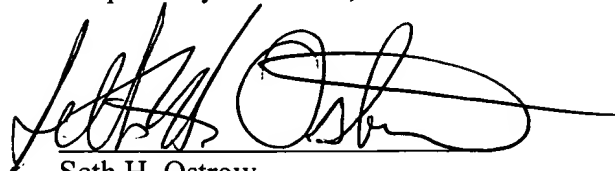
In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents identified on the enclosed Information Disclosure Citation List, including an International Search Report dated April 12, 2001 issued in application number PCT/US00/335492, of which the present application is a counterpart. A copy of the Search Report and the references cited are enclosed herewith.

The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required, the Patent Office is authorized to charge any such fee to Deposit Account No. 02-4270.

Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Dated: June 4, 2001

Respectfully submitted,



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Sheet 1 of 1



INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 4530/1	Application Serial No.: 09/706,678
	Applicants: Webster Hughes	
	Filing Date: November 6, 2000	Group Art Unit: 2161

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass
	5,809,483	09/15/1998	Broka et al.	705	37
	5,724,524	03/03/1998	Hunt et al.	395	237
	5,313,560	05/17/1994	Maruoka et al.	395	54

FOREIGN PATENT DOCUMENTS

Exam. Init.	Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	Preliminary Search Report dated April 12, 2001, in PCT/US00/35492

Examiner:	Date Considered:
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